

PATENTS/TRADEMARK/COPYRIGHT SUPPLEMENT

Name of Applicant: _____

1. Areas of Practice

Please provide a breakdown of the firm’s intellectual property practice according to the percentage listed under “Patents, Trademarks & Copyrights” in the area of practice section of the application.

- (A) Intellectual Property Litigation _____ %
- (B) Patent Infringement Counseling _____ %
- (C) Domestic Patent Prosecution _____ %
- (D) Foreign Patent Prosecution _____ %
(Please provide a narrative)
- (E) Trademark & Copyright Registration & Licensing _____ %
- (F) Other (specify) _____ %
_____ %
_____ %

2. Industry Areas

Please provide a breakdown of the firm’s intellectual property practice by indicating the percentages of gross revenues derived from intellectual property matters within the following industries.

- (A) Chemical _____ %
- (B) Biotechnical _____ %
- (C) Pharmaceutical _____ %
- (D) Industrial _____ %
- (E) Mechanical _____ %
- (F) Computer (including hardware, software, semiconductors) _____ %
- (G) Electrical (other than computer) _____ %
- Other (specify) _____ %
_____ %
_____ %

(Percentages listed must equal the total percentage listed under “Patent, Trademarks & Copyrights.”)

3. Patent Searches

- (A) When undertaking a patent search, is it the policy and practice of the firm to set forth in an engagement letter the nature, scope and limitations of a proposed patent search? Yes No
If No, please explain. _____
- (B) Does the firm engage the services of third parties to carry out patent searches? Yes No
If yes, please explain. _____
- (C) Is it the policy and practice of the firm to memorialize the results of a patent search in a written opinion letter?
 Yes No
If No, please explain. _____
- (D) When rendering an opinion letter as to the results of a patent search, is it the policy and practice of the firm to qualify the opinion by reference to the nature, scope and limitations of the search conducted? . Yes No
If No, please explain. _____

4. Filings

- (A) (i) Is the firm’s responsibility for payment of maintenance fees, taxes or annuities clearly stated in the engagement letters? Yes No
If No, please explain. _____

- (ii) If the client is responsible, or authorization is necessary, are notices of required payments sent well in advance of the due dates? Yes No
If No, please explain. _____

- (iii) Is the system for sending such notices computerized? Yes No
- (B) What calendar or docketing system is employed by the firm to record, monitor and comply with filing deadlines and other time limitations in connection with securing Domestic and Foreign patents?

- (C) What policy and practice does the firm follow to ensure that the client is notified of all such deadlines and other time limitations?

5. Foreign Patent

- (A) To what extent is foreign patent work performed by:
 - (i) The firm? _____
 - (ii) Associate counsel? _____

- (B) For foreign patent filings, is client made aware of the limited time frame for these filings and the additional requirements necessary to complete the filings? Yes No
If No, please explain. _____

Is the client requested to sign a statement when declining to file a patent both domestically and internationally? Yes No
If No, please explain. _____

- (C) Are foreign patents handled by a separate unit? Yes No

I/We understand the information herein becomes a part of the Professional Liability Application and is subject to the same representations and conditions.

Signature of Owner, Officer or Partner _____	Title	Date
Producer Name: _____ Address: _____ City: _____ State: _____ Zip Code: _____ (Required in FLORIDA, IOWA, NEW HAMPSHIRE only)		
Producer License Number: _____ Applicable State: _____ (Required in FLORIDA only)		
Producer Signature: _____ (Required in NEW HAMPSHIRE only)		

NOTICE: ANY PERSON WHO, KNOWINGLY OR WITH INTENT TO DEFRAUD OR TO FACILITATE A FRAUD AGAINST ANY INSURANCE COMPANY OR OTHER PERSON, SUBMITS AN APPLICATION OR FILES A CLAIM FOR INSURANCE CONTAINING FALSE, DECEPTIVE OR MISLEADING INFORMATION MAY BE GUILTY OF INSURANCE FRAUD.

NOTICE TO ALABAMA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit, or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution or confinement in prison, or any combination thereof.

NOTICE TO ARKANSAS, LOUISIANA, NEW MEXICO, RHODE ISLAND AND WEST VIRGINIA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit, or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO COLORADO APPLICANTS: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an Insurance Company for the purpose of defrauding or attempting to defraud the Company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any Insurance Company or agent of an Insurance Company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: Warning: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

NOTICE TO FLORIDA APPLICANTS: Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete or misleading information is guilty of a felony in the third degree.

NOTICE TO KANSAS APPLICANTS: Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written statement as part of, or in support of, an application for the issuance of, or the rating of an

insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act.

NOTICE TO KENTUCKY APPLICANTS: Any person who knowingly and with the intent to defraud any Insurance Company or other person files an application for insurance containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime.

NOTICE TO MAINE, TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an Insurance Company for the purpose of defrauding the Company. Penalties include imprisonment, fines and denial of insurance benefits.

NOTICE TO MARYLAND APPLICANTS: Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO NEW JERSEY APPLICANTS: Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

NOTICE TO NEW YORK APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

NOTICE TO OHIO APPLICANTS: Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

NOTICE TO OREGON APPLICANTS: Any person who, knowingly and with intent to defraud or facilitate a fraud against any insurance company or other person, submits an application, or files a claim for insurance containing any false, deceptive, or misleading material information may be guilty of insurance fraud.

NOTICE TO PENNSYLVANIA APPLICANTS: Any person who knowingly and with the intent to defraud any Insurance Company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

NOTICE TO PUERTO RICO APPLICANTS: Any person who knowingly and with the intent to defraud, presents false information in an insurance request form, or who presents, helps, or has presented a fraudulent claim for the payment of a loss or other benefit, or presents more than one claim for the same damage or loss, will incur a felony, and upon conviction will be penalized for each violation with a fine of no less than five thousand dollars (\$5,000) nor more than ten thousand dollars (\$10,000); or imprisonment for a fixed term of three (3) years, or both penalties. If aggravated circumstances prevail, the fixed established imprisonment may be increased to a maximum of five (5) years; if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.