

LAWYERS PROFESSIONAL LIABILITY PRELIMINARY PREMIUM INDICATION WORKSHEET

Firm Name: _____
 Address: _____ City: _____ State: _____
 Phone: _____ Fax: _____

Law Firm Information

Establishment Date: _____
 Number of Support Staff: _____ Of Counsel _____
Number of Attorneys, IC's, OC's & Years with the firm
 (Exclude "Of Counsel" working < 20 hrs/week)

<u>Years with firm</u>	<u>Number of attorneys</u>
5+ years	_____
4 years	_____
3 years	_____
2 years	_____
1 year	_____
< than 6 months	_____

Number of attorneys participating in CLE during the past twelve months? _____
 Firm's estimated annual gross income? _____
 Single client annual gross revenues > 50%? Y___ N___

Internal Controls

Do you maintain a Docket Control System with at least two independent date controls? Y___ N___
 Is a Conflict of Interest System maintained? Y___ N___
 Are engagement and non-engagement letters used on a regular basis? Y___ N___

Current Insurance

Carrier: _____
 Limits & Deductible: _____
 Premium: _____
 Retroactive/Prior Acts Date: _____
 Policy Term: _____
 Date of first continuous claims-made coverage: _____

Claim History

Are you aware of any claims against your firm or any incidents that could result in a claim against your firm within the past five years? Y___ N___ If "YES", how many? _____ Please provide specific details of each, including a description of the allegations, current reserve and/or indemnity paid, expenses paid, etc.
 Has any member of the applicant firm been refused admission to practice, disbarred, suspended, reprimanded, sanctioned, or held in contempt by the court administrative agency or regulatory body? Y___ N___ If "YES", please provide details.

Area of Practice Percentages (percentages must total 100%)

Area of Practice	%	Area of Practice	%
BI/PI – Plaintiff (6)		Wills/Estate Planning/Probate/Trusts - assets < \$1,000,000	
General Liability(6)		Wills/Estate Planning/Probate/Trusts - assets > \$1,000,000 < \$5,000,000	
Medical Malpractice(6)		Wills/Estate Planning/Probate/Trusts - assets > \$5,000,000	
Other Plaintiff(6)		Admiralty/Maritime – Defense	
Workers Compensation – Plaintiff(6)		Admiralty/Maritime – Plaintiff(6)	
Corporate – Formation/Alteration		Antitrust/Trade Regulation	
Mergers and Acquisitions		Arbitration/Mediation	
Corporate – General *		Aviation	
*If >25 please provide complete details on separate sheet		Banking/Financial Institutions(1)	
Family Law		Bankruptcy	
Divorce - assets < \$1,000,000		BI/PI – Defense	
Divorce - assets > \$1,000,00 < \$5,000,000		Civil Rights/Discrimination	
Divorce - assets > \$5,000,000		Collection/Repossession	
All other Family Law		Communication/FCC	

Area of Practice	%	Area of Practice	%
Insurance		Criminal	
Workers Compensation – Defense		Entertainment/Sports(3)	
Labor Law – Management		Environmental – General(4)	
Labor Law – Management		Environmental – Litigation	
Administrative		Foreign (Non-U.S. Law)/International	
Eminent Domain		Healthcare	
Municipal/Governmental – Zoning & Planning		Immigration	
Municipal/Governmental – Other (Not Bonds)		Investment Counseling/Money Management	
School Law		Labor Law – Union	
Real Estate (4)		Litigation – Commercial – Defense	
Real Estate – Commercial(4)		Litigation – Commercial – Plaintiff(6)	
Real Estate – Escrow Agent(4)		Loans(7)	
Real Estate - Foreclosure(4)		Labor Litigation- Defense	
Real Estate – Residential(4)		Labor Litigation – Plaintiff(6)	
Real Estate – Title Work(4)		Oil/Gas/Minerals(7)	
Real Estate – Syndication/Development(4)		Patent(2)	
Taxation		Public Utilities	
Tax – Corporate/Business Opinions		Securities/Bonds/Secured Transactions(5)	
Tax – Corporate/Business Preparations		Social Security/Elder Law	
Tax – Individual		Water Rights(7)	
Copyright/Trademark (Not Patent)(2)		Other (Describe)(7)	
Total:			

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Submitting Agency: _____
Contact Person: _____ Phone #: _____